1731 P

PATENT Customer No. 22,852 Attorney Docket No. 9140.0014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Demaray, et al.

Serial No.: 09/903,081

Filed: July 10, 2001

For: AS-DEPOSITED PLANAR OPTICAL

WAVEGUIDES WITH LOW

SCATTERING LOSS AND

METHODS FOR THEIR

MANUFACTURE

Croup Art Unit: 1731

Confirmation M. Hoffmann

Confirmation No. 1225

Confirmation No. 1225

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

oplication of:

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed documents are attached.

09/03/2004 BABRAHA1 00000116 09903081

180.00 OP

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Applicants respectfully request that the Examiner consider the listed documents and

indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that each or all of the listed documents are material or

constitute "prior art." If the Examiner applies any of the documents as prior art against any

claims in the application and Applicants determine that the cited documents do not constitute

"prior art" under United States law, Applicants reserve the right to present to the office the

relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability

of the disclosed invention over the listed documents, should one or more of the documents be

applied against the claims of the present application.

If there is any additional fee due in connection with the filing of this Statement, please

charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: September 1, 2004

Gary J. Edwards

Reg. No. 41,008

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INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09140-0014-000	00 (IPA	Appln. No.	09/903,081	
Applicant	Demaray et al.	10 6			_
Filing Date	July 10, 2001	SEP 0 2 2004 %	Group:	1731	
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CROPPATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue/ Publication Date	Name	Clas s	Sub Class	Filing Date If Appropriate
	5,607,560	Mar. 4, 1997	Hirabayashi et al	204	192.15	
	5,755,938	May 26, 1998	Fukui et al.	204	298.23	
	5,830,330	Nov. 3, 1998	Lantsman	204	192.12	
	5,849,163	Dec. 15, 1998	Ichikawa et al.	204	192.23	
	5,942,089	Aug. 24, 1999	Sproul et al.	204	192.13	
	6,057,557	May 2, 2000	Ichikawa	257	59	
	6,488,822 B1	Dec. 3, 2002	Moslehi	204	192.12	
	2003/0022487 A1	Jan. 30, 2003	Yoon et al.	438	642	
	2003/0042131 A1	Mar. 6, 2003	Johnson	204	192.12	,
	2003/0077914 A1	Apr. 24, 2003	Le et al.	438	763	
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	2003/0141186 A1	Jul. 31, 2003	Wang et al.	204	298.07	

	FOREIGN PATENT	DOCUMENTS		· -	
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc	.)	
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Examiner		Date Considered	
*Examiner:	er: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 14	49 P	atent and Trademark Office - U.S. Department of Commerce	